

THE IMPACT OF THE LOW THROW FAULT ON THE STABILITY OF ROADWAYS IN A HARD COAL MINE

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Abstract: Ensuring roadways stability in hard coal mines is one of the main challenges faced by engineers. A changeable geological structure have caused the roadway's conditions to vary, thus influencing its stability. One of the causes of those changes is the presence of a previously undiscovered fault zone (small faults crossed the roadway) within which a significant convergence or support deformation may occur.

The paper presents the impact of low throw faults on the degree of convergence of roadways. Convergence is determined for two roadways in the hard coal mine. A special measuring stations have been installed in one of the roadways, and they have carried out constant measurements for 15 months. In the other roadway, the degree of convergence has been determined on the basis of an on-site verification and comparison of the measurements obtained and the initial values, based on the roadway's records.

On the basis of the obtained convergence results, the impact of a single fault and the entire fault zone on the roadway stability has been determined. The impact of a single, low throw fault results in a 30% higher vertical convergence than in the case of roadways free of geological disturbance. In the roadway section located in the fault zone, vertical convergence is 4 times higher than in the case of sections free of disturbance impact. The floor heaving constitutes ca. 90% of vertical convergence both for roadway sections situated within the faulted zones and for sections free of the influence of any additional factors.

Key words: fault, roadway stability, roadway convergence, floor heaving

1. INTRODUCTION

Hard coal mines in Poland maintain thousands of kilometers of new roadways, additionally carrying out hundreds of new development workings each year; therefore ensuring their stability is one of the main challenges faced by engineers.

A changeable geological structure of the Upper Silesian Coal Basin [USCB] and its exploitation history have caused the conditions of roadway sections to vary, thus influencing their stability. One of the causes of those changes is the presence of a previously un-

discovered fault zone, within which a significant convergence or support deformation, or even its destruction, may occur [7], [17], [18]. During mining research conducted in the USCB, experts [10, [14], [15] emphasized the significant impact of a single fault and of a fault zone on the maintenance of the roadway as one on the main factors influencing its stability.

In areas with a considerable degree of tectonic engagement, the phenomenon of occurrence of small faults on the roadway length is very often encountered, including the area of Monoklina Zofiówki and adjacent areas.

The paper presents the impact of low throw faults on the degree of convergence of roadways. The convergence has been determined for two roadways in the KWK “Borynia–Zofiówka–Jastrzębie” mine. Special measuring stations have been installed in one of the roadways, and they have carried out constant measurements for 15 months. In the other roadway, the degree of convergence has been determined on the basis of an on-site verification and comparison of the measurements obtained and the initial values, based on the roadway records.

2. IMPACT OF LOW THROW FAULTS ON THE STABILITY OF ROADWAYS

In hard coal mines, faults tend to occur in close vicinity to one another, forming so-called fault zones. They are characterized by the occurrence of several, usually non-parallel fault surfaces, along which displacement of rock layers occurred. The occurrence of numerous small faults may be related to tectogenesis [5], [9] of the analyzed area and the degree of restructuring and diagenesis of rocks present in this area [1]. Moreover, it has been observed that the coal seams with a higher content of naturally accumulated mineral substance are characterized by a higher resistance to the influence of tectonic processes [3]. Low throw faults are often coal seam faults that, according to Kidybiński [5], are characterized by a throw of up to ca. 2 m. On the other hand, Nieć [9] claims that the throw of small faults does not exceed the height of development workings.

It should be noted that each fault causes the occurrence of a local rock weakening whose range depends on the rock hardness, the degree of its tectonic engagement and the extent of the fault throw [5]. The size of this zone was subject to numerous studies. Wojnar has established a 1 m zone of significant drop in the rock resistance for faults with 2–7 m throws, and the overall range of the rock weakening zone was 5 m on both sides of the fault surface [5]. Similar observations were presented by Nieć [9], who demonstrated a drop in uniaxial compressive strength of sandstone by more than a half, on a 0–4 m section for the Kłodnicki fault with a 5 m throw and a 0–5 section for the Bytom fault with a 12 m throw. Zorychta [23] indicated the empirical dependence between the determination of a fault zone (L_u), vertical height of the fault

throw (h_u) and the lean angle of the fault surface (β_u) (formula (1))

$$L_u = \frac{2.5 \times \sqrt{h_u}}{\sin \beta_u}. \quad (1)$$

Meanwhile, other sources [8] indicate that the impact of the fault on the roadway stability should be taken into account in the distance of three times the height of the throw on each side of the fault surface and the displacements could be there over 4 times higher than in undisturbed areas [4]. Shen’s research team [16], in their numerical calculations, indicate the range of impact of the fault on the weakening of rocks as a value two to four times higher than the throw height of that fault. According to Bukowska and Ćmiel [1], such a marked drop in the rock strength in the immediate vicinity of the fault surface is typical of extensive rock destruction zones. Their impact range is often relatively small for a given section of the roadway, but it may result in a significant deterioration of the roadway functionality or its complete loss. Research clearly shows the proportional increase in rock strength to the distance from the fault surface [5], [9], [23].

The Yao team [22], during its research, have determined a minimum height of fault throw influencing the roadway stability on a 2 m level. If the fault throw is higher than 2 m, the roadway should be designed and secured similarly to undisturbed areas – without taking into account the impact of the fault, as the stress variations in the rock mass around the roadway are insignificant and the rocks are intact [22]. However, it should be noted that this research was conducted in conditions that are entirely different than those in the USCB.

A decrease in rock strength parameters around the roadway results in a larger destruction zone and a higher load to the frame support, described in “*Zasady projektowania obudowy wyrobisk korytarzowych...*” (*Principles of ground support designing for roadways...*) [21], [23]. Those guidelines for roadways located in a fault zone impose that 20% higher frame support loads should be taken into account, as well as a reduction in rock strength in that zone by an empirical coefficient, which, as a consequence, significantly influences the convergence of the roadway.

3. D-2 MAINGATE STABILITY ANALYSIS

The measurement of roadway convergence allows for a quantitative assessment of its stability.

The most considerable displacements on the roadway contour occur in a relatively short time (considering the exploitation period) after its drivage. Significant displacements may occur within up to 90 days [2], and for the USCB conditions, within 200–220 days from roadway drivage [6]. Sometimes, even after 4 years the roadway driving had been completed, secondary balance equilibrium does not occur [10]. However, according to research conducted by the AGH [6], in the period of up to 3 months from roadway drivage, a fracture zone is fully developed above the roadway, statically influencing the roadway support. This phenomenon has significant consequences on the secondary balance equilibrium of the rock mass, and, as a result, on the rock mass displacements.

3.1. RESEARCH AREA

The D-2 maingate is situated on the average depth of 1000 meters. The roadway rib is composed of a coal seam of a total thickness of 4.1 m. The inclination of the seam does not exceed a few degrees [19].

The immediate roof of the roadway is characterized by uniaxial compressive strength of 57 MPa. It is composed of coal-bearing shales with mudstone interlayers. The strength of a coal-bearing shale is 33.8 MPa. In higher strata, a monolithic layer of sandstone of 8.5 m with $R_c = 80.8$ MPa is located. The immediate floor of the roadway is composed of claystone with single coal laminae with $R_c = 50.3$ MPa.

In the analyzed section, the roadway was made in the ŁP12/4/V32 steel yielding support (roadway height:

4.225 m, roadway width near the floor: 6.10 m) with 0.6 m spacing. To stabilize the steel frames, tubular stretchers have been applied; their length has been adjusted to the frame support spacing. The welded mining mesh with knots was applied in the roadway as a lining [19].

3.2. RESEARCH METHOD

In order to determine roadway convergence, and subsequently, its stability, *in situ* measurements were carried out. They were commenced in April 2015 and continued until July 2016 during everyday exploitation of the roadway. All the measured values were compared with nominal measurements of the roadway.

Seven measurement bases were installed in the roadway, each placed within the 25 m distance from the other. Roadway convergence was measured on each measurement base containing four measurement points – PP1, PP2, PP3, PP4 (Fig. 1), carried out on the basis of three different measurements including: change of the roadway height H , change of the roadway width S and the change of floor heaving value u_{sp} (Fig. 1).

In relation to the subject of this paper, results from three measurement bases were presented (Fig. 2). Namely: base 930 (roadway without the impact of additional mining and geological factors), base 790 m (fault with a 0.7 m throw and the impact zone of the exploitation edge of the 409/3 seam) and base 757 m (a series of low throw faults from 0.4 to 3.2 m, creating a series of faults along the length of 12 m).

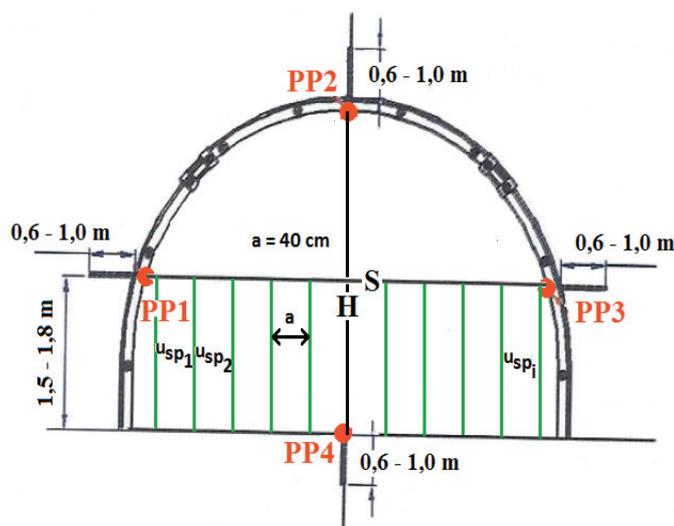


Fig. 1. Scheme of measurement base and the measurement methodology in D-2 maingate

Table 1. The assesment of the D-2 maingate maintenance ego D-2, using RDE index, acc. to [8]

Measurement base	930 m	790 m	757 m	
Geological disturbance	–	fault 0.7 m	fault 3.2 m	
Factors	Natural	87.92	82.94	71.06
	Geomechanical	49.88	49.88	42.46
	Mining	93.26	93.26	93.26
Total RDE	230.2	225.22	206.72	
Roadway Design Efficiency class	II	II	III	
Description of the maintenance conditions	Favourable (221–260)	Favourable (221–260)	Fair –220)	

4. RESULTS OF THE ROADWAY CONVERGENCE ASSESSMENT

4.1. MEASUREMENT BASE OUTSIDE OF THE GEOLOGICAL DISTURBANCE ZONE

Convergence measurements in the measurement base located at 930 m of the D-2 maingate, located outside geological disturbance zones, have been carried out for 270 days. During this period, low values of roadway convergence have been noted. They were, respectively: roadway width change (ΔS_w) – 20 cm, roadway height change in the opening (Δh_w) – 38.5 cm, average floor heaving (u_{sp}) – 25.8 cm, and the cross section change (ΔP_w) – 2.87 m². The dynamics of those changes is shown in Fig. 3, and the values obtained are presented in Table 2. After ca. 600 days from roadway drivage, its height was 384 cm, which constituted ca. 91% of the nominal height of the opening. The width of the roadway, in July 2016, constituted 96.7% of the nominal width S , which was 610 cm.

Table 2. Maingate D-2 convergence – base on 930 m

Date of measurement	Width change		Height change		Floor heaving			Cross-section change	
	ΔS_w [cm]	ΔS_w [%]	Δh_w [cm]	Δh_w [%]	u_{spmin} [cm]	u_{spmax} [cm]	u_{sp} avg [cm]	ΔP_w [m ²]	ΔP_w [%]
10.2015	14	2.3	32.5	7.7	2.7	28.1	15.1	1.86	8.6
11.2015	14	2.3	32.5	7.7	3.7	28.1	16.1	1.92	8.9
12.2015	16	2.6	34.5	8.2	4.7	31.2	17.8	2.20	10.2
01.2016	17	2.8	35.5	8.4	4.9	31.5	19	2.35	10.9
03.2016	19	3.1	37.5	8.9	5.7	33.1	21.8	2.48	11.5
04.2016	19	3.1	38.5	9.1	5.7	34.3	24.4	2.66	12.4
06.2016	19	3.1	38.5	9.1	5.7	35.1	25.3	2.81	13.1
07.2016	20	3.3	38.5	9.1	5.7	35.1	25.8	2.87	13.3

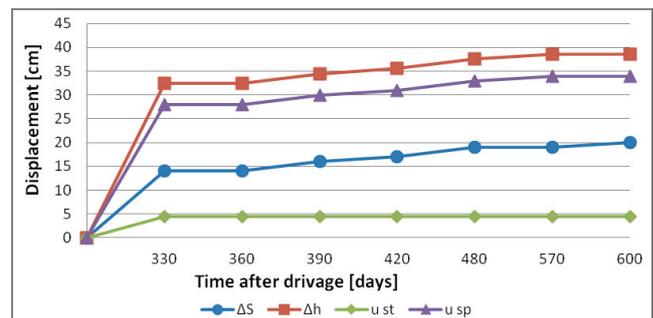


Fig. 3. Maingate D-2 convergence – base on 930 m (ΔS – roadway width change, Δh – roadway height change, u_{st} – roof displacement, u_{sp} – floor heaving)

4.2. MEASUREMENT BASE LOCATED IN THE AREA OF A SINGLE FAULT

The measurement base in the fault area was installed at 790 m of the D-2 maingate. The roadway support, in this area, was additionally reinforced with a V29 steel beams. Research conducted in this base lasted 16 months; 13 measurements were taken. Results are shown in Table 3. During 450 days of observations, the width of the roadway was reduced by 41 cm, which constitutes around 7% of the original width. The roadway height, in the cross-sectional area of working, as a result of the influence of a single fault, was reduced by 12% (52 cm). Reduction of both the width and the height of the roadway in the impact zone of the fault caused the roadway cross section to decrease. As a result of steel yielding support convergence, the cross section of the roadway, after 600 days from drivage in the above-mentioned area, was at 17.5 m² (ca. 81% of the original value), which caused the ventilation conditions and the roadway functionality to deteriorate. Floor heaving, compared to the 930 m base located on the section free of geological disturbance, technically rose 1.5 times (Table 3) on its entire width. The average value of

Table 3. Maingate D-2 convergence – base on 790 m

Date of measurement	Width change		Height change		Floor heaving			Cross-section change	
	ΔS_w [cm]	ΔS_w [%]	Δh_w [cm]	Δh_w [%]	u_{spmin} [cm]	u_{spmax} [cm]	$u_{sp avg}$ [cm]	ΔP_w [m ²]	ΔP_w [%]
04.2015	10	1.6	21.5	5.1	0.0	20.3	11.0	1.28	5.9
05.2015	15	2.5	23.5	5.6	0.8	22.7	11.7	1.65	7.7
06.2015	17	2.8	26.5	6.3	2.7	22.7	12.8	1.86	8.6
08.2015	22	3.6	29.5	7.0	5.7	28.7	16.3	2.15	10.0
09.2015	28	4.6	33.5	7.9	6.7	34.7	19.3	2.51	11.7
10.2015	29	4.8	37.5	8.9	8.7	36.7	21.2	2.65	12.3
11.2015	32	5.2	42.5	10.1	11.3	40.6	24.2	2.95	13.7
12.2015	32	5.2	43.5	10.3	11.3	42.6	25.7	3.17	14.7
01.2016	34	5.6	45.5	10.8	12.3	43.6	27.7	3.29	15.3
03.2016	34	5.6	45.5	10.8	13.3	43.6	29.4	3.47	16.1
04.2016	35	5.7	49.5	11.7	14.3	44.6	30.9	3.65	17.0
06.2016	36	5.9	49.5	11.7	15.7	44.6	33.0	3.80	17.7
07.2016	41	6.7	51.5	12.2	17.7	45.7	34.1	4.04	18.8

floor heaving is 34 cm. The rate of those changes is presented in Fig. 4.

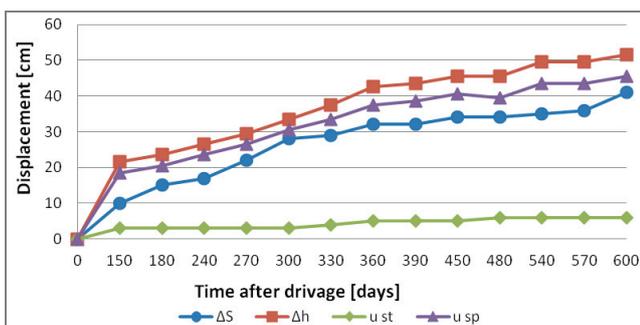


Fig. 4. Maingate D-2 convergence – base on 790 m (ΔS – roadway width change, Δh – roadway height change, u_{st} – roof displacement, u_{sp} – floor heaving)

4.3. BASE LOCATED IN THE FAULT ZONE

Studies conducted in the fault zone lasted 16 months; 11 measurements were taken. During 450 days of observations, the biggest dimension change has been obtained (Table 4). The drivage of the D-2 maingate in the fault zone has caused its 71 cm horizontal convergence, which is around 12% of the nominal width of the roadway. The weakening of rocks and extensive stress in the fault zone have considerably influenced the vertical convergence of the roadway. Roadway height, since its drivage, has decreased by ca. 170 cm, which resulted in additional works that would ensure stability of the roadway – floor brushing has been carried out three times; its values were

Table 4. Maingate D-2 convergence – base on 757 m

Date of measurement	Width change		Height change		Floor heaving			Cross-section change	
	ΔS_w [cm]	ΔS_w [%]	Δh_w [cm]	Δh_w [%]	u_{spmin} [cm]	u_{spmax} [cm]	$u_{sp avg}$ [cm]	ΔP_w [m ²]	ΔP_w [%]
04.2015	28	4.6	16.5	3.9	0.4	77.2	43.9	4.28	19.9
05.2015	–	–	–	–	–	–	–	–	–
06.2015*	31	5.1	60.5	14.3	27.2	89.3	69.6	5.47	25.4
08.2015	43	7.0	64.5	15.3	42.2	93.61	76.4	6.28	29.2
09.2015	–	–	–	–	–	–	–	–	–
10.2015**	52	8.5	74.5	17.6	58.2	101.61	84.6	7.11	33.0
11.2015	55	9.0	117.5	27.8	77.8	130.5	108.2	8.89	41.3
12.2015	59	9.7	136.5	32.3	87.8	137.5	116.7	9.55	44.4
01.2016***	64	10.5	138.5	32.8	89.8	141.5	118.3	9.69	45.0
03.2016	65	10.7	145.5	34.4	96	146.5	122.5	9.97	46.3
04.2016	67	11.0	145.5	34.4	103	148.5	126.5	10.22	47.5
06.2016	70	11.5	149.5	35.4	111	161.61	137.4	10.80	50.2
07.2016	73	12.0	167.5	39.6	118	180.5	148.1	11.67	54.2

* – floor brushing ca. 70 cm; ** – floor brushing ca. 80 cm; *** – floor brushing ca. 60 cm.

taken into account in the results obtained. Thus, total average floor heaving for the roadway located in the fault zone would be 150 cm. If rocks had not been brushed from the floor, the roadway cross section would have been at as little as 45% of its original value, which means almost complete loss of the roadway functionality.

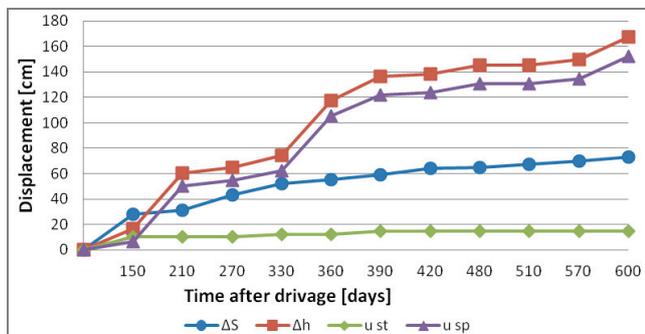


Fig. 5. Maingate D-2 convergence – base on 757 m (ΔS – roadway width change, Δh – roadway height change, u_{st} – roof displacement, u_{sp} – floor heaving)

4.4. SUMMARY OF MINING MEASUREMENTS IN THE D-2 MAINGATE

On the basis of mining research conducted during a long period (450 days), it may be observed that vertical convergence has the strongest influence on relocation in the vicinity of the roadway. Floor heaving constitutes around 90% of vertical convergence, both for bases located in fault zones and for the base located outside that zone, which was also proved in other studies [11]–[13]. The value of roadway roof lowering, in each case, is from a few to around a dozen centimeters. For the base installed under the impact zone of a single fault or a fault zone, the vertical convergence does not exceed 40 cm. For the base located at the 0.7 fault, the value of vertical convergence is ca. 52 cm – 30% higher than at the base outside the impact zone of the fault. Vertical convergence occurs evenly, almost linearly. Meanwhile, the roadway located in the fault zone is characterized by an increase in vertical convergence that is close to linear, but with a lower correlation coefficient. The value is ca. 167.5 cm and is more than 4 times higher than in the case of roadway section free of the impact of the fault. A comparison of cross section sizes of roadways in different sections is shown in Fig. 6.

A comparison of the results of vertical convergence measurements is presented in the chart in Fig. 7. Although the roadway in the area of measurement bases located at 930 m and 790 m sections may be

considered stable, the D-2 maingate located in the fault zone at 757 m loses its stability. It is also worth mentioning that, after each floor brushing, a periodic relaxation occurs, and the increase rate of deformations slows down for 150–200 days (Fig. 7).

A comparison of cross section sizes of roadways in different sections is shown in Fig. 6.

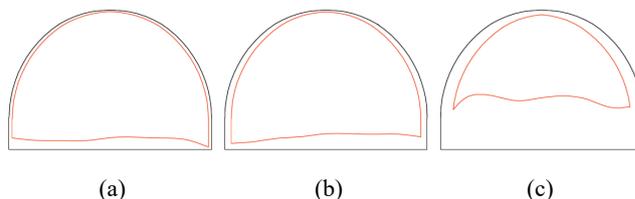


Fig. 6. Maingate D-2 convergence: (a) base on 930 m, (b) base on 790 m, (c) base on 757 m

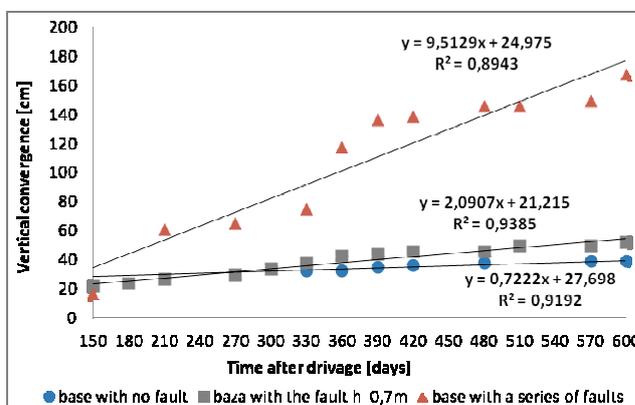


Fig. 7. Vertical convergence for the measurement bases

5. INVENTORY OF ROADWAYS LOCATED IN FAULT ZONES

The drift W level 838 is located at the average depth of 780 m. Roadway drivage was commenced in October 2013 and terminated after 11 months [20]. On 28 June 2016, an inventory of the section between 300 and 400 m of the working was carried out in order to determine the impact of discontinuity in the form of numerous low throw faults on the stability of the roadway in the area of their occurrence. The inventory reflects the condition of the section after around 22 months from its drivage. Due to a specific purpose of the inventory, several characteristic sections were identified, in which geological disturbances significantly influenced the deterioration of functionality of the roadway. The map in Fig. 8 shows points presenting the location of the above-mentioned sections.

axis, six SV props connected with two SD29 yokes were installed [19]. Roof arches have visibly plasticized, which proves an intensified vertical stress influencing the roadway frame support. Due to flooding, it was impossible to perform control measurements.

From ca. 314 m, frame support spacing has been changed from 0.8 to 0.6 m. At 324 m, where a single fault with a 3 m throw is situated, vertical convergence is as high as 150 cm compared to nominal support height. A significant roadway roof lowering is visible in relation to the adjacent section; roof arch deformations can also be observed. Coal is present in the roof. The shape of the roadway contour is similar to that of the flattened frame support of the ŁPSp type. The percentage of floor heaving does not deviate significantly from other sections; however, it is visible and it strongly influences vertical convergence.

In the 330–340 m section, there are two faults with more than 3 m throws [20]. On the first six meters, polling was made in order to protect the roadway from roof rock falls and to relieve the support construction. Reinforcements were prepared regularly, on every second or third frame, using steel poles with 40 mm diameters: 4, 4, 5 poles, respectively, secured between following roofs of the adjacent to support frames, and fixed to the roadway roof. The spacing of the poles was, on average, 0.5–0.7 m. Problems with the roof maintenance are clearly visible: numerous torn of steel mesh both in the roof and ribs, caused by the rock mass body being forced out into the roadway, especially in places in which weaker rock strata are present, e.g., coal.

Due to serious problems with maintaining roadway dimensions, in the 336–340 m sections, support frames have been reinforced by securing every second or third roof arch using eight steel beams (profile V-32) with a mutual spacing of 0.4 m. Moreover, to ensure better cooperation between the frames, the majority of steel beams were attached to the roof bar using yokes and bent bolts. Additional reinforcement has been installed in the 335–342 section: two 4-meter steel beams (V-29 profile) that have been deformed. In this section, there is a fault with a throw exceeding 3.0 m and a lean of 60°. Reinforcements installed at 336–340 m resulted in maintaining roadway height that exceeded that of the 330–336 m section by 0.7 m. In the above-mentioned section, deformations of steel mesh were less often observed. It is also significant that, due to problems with maintaining the roadway stability, installation of a profile made of the best steel now available on the market – S550W – became necessary. It was installed at 333 m, and the spacing and frame support doors remained unchanged [20].

In the 350–365 m section, no frame support reinforcements were installed. An uneven work of frame support arches is visible, as well as the fact that they are flattened on the right side. Floor heaving increases from the left side of the roadway to the right. Coal is present in the ribs. At 360 m, a control measurement of frame support dimensions in the roadway axis has been performed. Roadway height is 3.16 m ($\Delta h = 106.5$ cm). Meanwhile, roadway width is 5.23 m ($\Delta S = 87.0$ cm) at the height of lower yokes at the overlapping point of rib and roof arches; it was caused by frame door flattening. Floor heaving in this section greatly influences vertical convergence. Two faults are present in this section: one with 30° dip and 2.5 m and 2.4 m throw, and the other with 55° dip and 0.4 m throw (Table 5).

In the 380–390 m section, significant deformations in the roadway frame support are visible. Numerous welded meshes have been torn; frame support arches and stretchers stabilizing support frames have plasticized. In this section, a pinch-out of the 361/1 seam occurs, preceded by a fault with a 0.4 m throw. Deformations in this section occur irregularly. From 400 m onward, roadway stability improves significantly.

6. CONCLUSIONS

On the basis of long-term measurements of convergence in the D-2 maingate, a deterioration of the roadway functionality has been observed, mainly influenced by vertical convergence. Floor heaving constitutes ca. 90% of vertical convergence both for roadway sections located in the impact zones of low throw faults and for sections free of the influence of additional factors. In the section where a 0.7 m throw occurs, vertical convergence of the roadway is 30% higher than in the case of roadway free of the influence of other factors. In the roadway section located within the fault impact zone, vertical convergence increases rapidly, up to 4 times the value measured in the section free of additional influences.

In the roadway located in waste rock area – drift W level 838 – roadway deformations indicate significant floor heaving and serious frame support deformations. In the sections with pinched-out coal in the ribs and the roof of the roadway, deformations are more extensive than in sections free of such disturbances. Reinforcements installed in those sections are sometimes insufficient to effectively prevent steel yielding support deformations, and low throw faults

may cause local, significant deterioration of the roadway functionality.

A critical factor influencing relocation is the stress condition in the rock mass. In the “Borynia” section of the mine complex “Borynia–Jastrzębie–Zofiówka”, significant relocation of ribs to the working space is observed as a result of increasing horizontal stress. Unfortunately, stress conditions have not been studied until recently. Heavy horizontal stress in the discontinuity zone intensifies deformations in roadway rocks, especially in weaker, more deformable rocks, with smooth cracking surfaces (e.g., coal). It results in easy slippage on the fault surfaces, causes rocks to become dilatant, and creates larger destruction zones in the vicinity of the roadway compared to roadway sections surrounded by harder rocks. In fault zones, cross section convergence may reach as much as 50%.

For better understanding of the impact of fault zones on roadway stability, roadway sections with faults should be subject to further study and research.

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