# Simulation of anticipated operation characteristics of designed constructions of broad-contact double-heterostructure (AlGa)As diode lasers. II. Free-carrier absorption\*

W. NAKWASKI

Institute of Physics, Technical University of Łódź, ul. Wólczańska 219, 93-005 Łódź, Poland.

This work is the second part of the model of braod-contact double-heterostructure (AlGa)As diode lasers. Thes formulae given in this part enable us to connect the coefficient of free carrier absorption in the  $Al_xGa_{1-x}As$  material with its composition and temperature.

# 1. Introduction

In the previous part of this work, the procedure of a calculation of the threshold current of broad-contact double-heterostructure (AlGa)As diode lasers has been proposed. This part deals with the most important, unavoidable kind of losses of radiation within the diode laser, namely, the free-carrier absorption. The third part will be devoted to quantum efficiencies and thermal properties of the lasers.

# 2. Free-carrier absorption near the energy gap for GaAs at room temperature

The measurement data of SPITZER and WHELAN [1] show that the free-carrier absorption in GaAs varies linearly with carrier concentration. Various published data [1]–[4] enable CASEY and PANISH [5] to express this absorption near the energy gap of GaAs at room temperature in the following form:

$$\alpha_{\rm FC}^*[\rm cm^{-1}] = 3 \times 10^{-18} n + 7 \times 10^{-18} p \tag{1}$$

where *n* and *p* are the electron hole concentrations, respectively (in  $\text{cm}^{-3}$ ).

<sup>\*</sup> This work was carried out under the Polish Central Program for Fundamental Research CPBP 01.06, 6.04.

# 3. Free-carrier absorption in $Al_xGa_{1-x}As$

Following the approach proposed by JORDAN [6], the free carrier absorption coefficient in the  $Al_xGa_{1-x}As$  material may be expressed in the following form

$$\alpha_{\rm FC} = \alpha_{\rm FC, \rm E} + \alpha_{\rm FC, \rm H} \tag{2}$$

where the electron component reads as follows

$$\alpha_{\rm FC,E} = \alpha_{\rm FC,E}^{*} \left[ \frac{1}{1 + f_{\rm 0A,E}} \frac{\alpha_{\rm A,E}(x,T)}{\alpha_{\rm A,H}^{*}} + \frac{1}{1 + f_{\rm 0A,E}^{-1}} \frac{\alpha_{\rm 0,E}(x,T)}{\alpha_{\rm 0,E}^{*}} \right],\tag{3}$$

and the formula for the hole component has an analogous form. In the above equations,  $\alpha_{A,E(H)}^*$  and  $\alpha_{O,E(H)}^*$  correspond to free-carrier absorption in GaAs at room temperature due to accoustic and optical phonons, respectively,  $f_{OA}$  is the absorption coefficient ratio

$$f_{0A,E(H)} = \alpha^*_{0,E(H)} / \alpha^*_{A,E(H)}$$
(4)

and, according to Eq. (1),  $\alpha_{FC,E}^* = 3 \times 10^{-18} n$ ,  $\alpha_{FC,H}^* = 7 \times 10^{-18} p$ .

For the symmetrical double-heterostructure, the free-carrier absorption in the confinement layers (c.f., Eq. (13), in the first part of the work) may now be given by

$$\alpha_{\rm OUT} = (\alpha_{\rm N} + \alpha_{\rm P})/2 \tag{5}$$

where  $\alpha_N$  and  $\alpha_P$  are the free-carrier absorption coefficients in the N-type and the P-type layers.

### 4. Free-carrier absorption due to optical phonos

The free-carrier absorption due to longitudinal optical phonons in the  $A^{III}B^{v}$  compound semiconductors was considered by VISVANATHAN [7], who derived the corresponding absorption coefficient (for electrons) in the following form

$$\alpha_{0,E} = \Omega \frac{4\pi (\varepsilon_{\infty}^{-1} - \varepsilon_{0}^{-1}) n e^{4}}{n_{R} C_{0}} \frac{1}{3} \left( \frac{2}{\pi m_{E}} \right)^{1/2} \frac{\hbar \omega_{PH}}{(\hbar \omega)^{2.5}} A_{0}(v, z)$$
(6)

where

$$A_0(v,z) = \left[ (1-e^{-v})/v^{1/2} \right] \left[ 2/(e^z-1) \right] \left[ e^z G(v-z) + G(v+z) \right],\tag{7}$$

$$z = \hbar \omega_{\rm PH} / k_{\rm B} T, \tag{8}$$

$$v = h\omega/k_{\rm B}T,\tag{9}$$

$$\Omega = 9 \times 10^{14} / 4. \tag{10}$$

In the above equations,  $\hbar = 1.05450 \times 10^{-27}$  erg sec,  $\varepsilon_{\tau}$  and  $\varepsilon_{0}$  are the high-frequency and the static dielectric constants, respectively,  $C_{0}$  is the speed of

light in vacuum, e-the unit charge ( $e = 4.80298 \times 10^{-10} \text{ cm}^{3/2} \text{ g}^{1/2} \text{ s}^{-1}$ ), n-the electron concentration  $m_{\text{E}}$ -the electron effective mass and  $\hbar \omega$  and  $\hbar \omega_{\text{PH}}$  are the energies of the absorbed photon and of the longitudinal optical phonon (taking part in the absorption), respectively. The function G may be expressed in the form

$$G(u) = \exp(u/2) \left[ K_0(u/2) + (u/2) K_1(u/2) \right] + f(u)$$
(11)

where  $K_0$  and  $K_1$  are the modified Bessel functions of the zero and the first orders, respectively, and the function f(u) may be written as [6]

The analogous relations may be written for the hole component.

In our case  $v \ge 1$ , therefore using the algorithms recommended by ABRAMOWITZ and STEGUN [8] for both  $K_0$  (Eq. (9.8.6)) and  $K_1$  (Eq. (9.8.8)) we can reduce the formula for G and  $A_0$  to the following forms:

$$G(u) = 0.886 \, u^{1/2} - 2 + 2.437 \, u^{-1/2}, \tag{13}$$

$$A_0 = (v, z) = (2/v^{1/2}) \left[ e^z G(v-z) + G(v+z) \right] / (e^z - 1).$$
(13a)

### 5. Free-carrier absorption due to acoustic phonons

The free-carrier absorption due to acoustic phonons was examined by FAN et al. [9], and ROSENBERG and LAX [10]. They expressed the corresponding formula for electrons in the following form:

$$\alpha_{\rm AE} = \Omega \frac{4\pi}{n_{\rm R} C_0} \frac{ne^2}{3} \frac{(2m_{\rm E})^{1/2}}{\pi^{3/2} \hbar^2} \frac{E_{\rm D}^2}{(\hbar\omega)^{1/2} C_{44}} A_{\rm A}(v)$$
(14)

where

$$A_{\rm A}(v) = v^{-1/2} \left[ \exp\left(v/2\right) - \exp\left(-v/2\right) \right] K_2(v/2).$$
<sup>(15)</sup>

In the above equations,  $E_D$  is the deformation potential,  $C_{44}$ -the elastic modulus, and  $K_2$ -the modified Bessel function of the second order which is related to the previously introduced  $K_0$  and  $K_1$  by the recursion formula [11]

$$K_2(v/2) = (4/v) K_1(v/2) + K_0(v/2)$$
(16)

For the considered case  $v \ge 1$ , the  $A_A$  function is reduced to the following form:  $A_A(v) = 1.772 v^{-1} + 6.647 v^{-2}.$  (17)

# 6. Absorption coefficient ratio

By substitution of the Equations (6) and (14) into (4), the absorption coefficient ratio  $f_{0A,E}$  for electrons takes the following form

$$f_{0A,E} = \frac{2\pi(\varepsilon_{\infty}^{-1} - \varepsilon_{0}^{-1})e^{2}\hbar^{2}C_{44}}{m_{E}E_{D}^{2}}\frac{\hbar\omega_{PH}}{(\hbar\omega)^{2}}A_{F}(v,z),$$
(18)

with

$$A_{\rm F}(v,z) = \frac{A_0(v,z)}{A_{\rm A}(v,z)} = \frac{e^z G(v-z) + G(v+z)}{(e^z - 1)\exp(v/2) K_2(v/2)}.$$
(19)

The analogous expression may be written for holes.

# 7. Dielectric constants

Based on the data published in the papers [12] and [13], the static dielectric constant of  $Al_xGa_{1-x}As$  material reads as follows:

$$\varepsilon_0(x,T) = (13.1 - 3.0x)(1 + 2.01 \times 10^{-4} T)\varepsilon^*,$$
<sup>(20)</sup>

and by virtue of papers [14] and [15], the high-frequency dielectric constant is given by

$$\varepsilon_{\infty}(x,T) = (10.9 - 2.3x)(1 + 0.90 \times 10^{-4}T)$$
<sup>(21)</sup>

where  $\varepsilon^*$  is the dielectric constant of vacuum.

#### 8. Long-wave LO phonon energy

The numerical data published in papers [15] and [16] enable us to present the long-wave LO phonon energies as follows:

$$\hbar\omega_{\rm PH}(x,T) = (36.21 + 13.39 x^{1.264})(1 - 4.0 \times 10^{-5} T), [meV]$$
 (22)

# 9. Elastic modulus

Taking into account numerical data given in papers [17] and [18], the elastic modulus  $C_{44}$  may be expressed as

$$C_{44}(x,T) = 59.5 \times 10^{10} (1 - 8.91 \times 10^{-2} x) [1 - 3 \times 10^{-5} (T - 300)], \, \text{dyne/cm}^2.$$
 (23)

# 10. Deformation potential

The  $E_D(T)$  dependence has not been found. On the basis of the papers [19]-[21], the following relation for the deformation potential  $E_D$  is assumed:

$$E_{\rm D}(x) = 8.5 + 1.5x, \quad [eV]$$
 (24)

# 11. Steady-state carrier pair concentration in the active layer

The steady-state value of the injected carrier pair concentration in the active layer may be written as

$$N_{INJ} = \frac{jt_E/(ed_A)}{j_{TH}t_E/(ed_A) = N_{TH}} \begin{cases} \text{for } j < j_{TH}, \\ \text{for } j \ge j_{TH} \end{cases}$$
(25)

where j and  $j_{\text{TH}}$  are the supply and the threshold current densities, respectively,  $d_A$  is the active-layer thickness, and  $t_F$  - the minority-carrier lifetime.

# 12. Free-carrier concentration in the active layer

In order to fulfil the condition of the electrical neutrality in the active layer, the free electron and the free hole concentrations in this region should be equal to

$$n = N_{\rm UN} + n_{\rm A},\tag{26}$$

$$p = N_{\rm IJN} + p_{\rm A} \tag{27}$$

where  $n_A$  and  $p_A$  are initial (induced by doping) electron and hole, respectively, concentrations in the active layer.

# 13. Conclusions

This paper deals with the second part of the model of broad-contact doubleheterostructure (AlGa)As diode lasers. The formulae presented in the paper enable us to determine the free-carrier absorption in the  $Al_xGa_{1-x}As$  material for a given temperature.

Knowledge of precise values of the coefficients of the above absorption process in all the layers of the double-heterostructure of a diode laser under consideration is necessary in determination of its threshold current density. The absorption processes are strongly temperature-dependent ones, therefore for detailed calculations the temperature profiles within the structure should be first determined. Those, however, are in turn dependent on the distribution of heat sources within the laser volume, i.e., on the rate distribution of the absorption processes, so the temperature profiles should be determined with the aid of the method proposed in the third part of the work and the self-consistent method of the calculations should be used.

The third part of the work will be devoted to quantum efficiencies and thermal properties of the diode lasers.

#### References

- [1] SPITZER W. G., WHELAN J. M., Phys. Rev. 114 (1959), 59.
- [2] HILL D. E., Phys. Rev. 133 (1964), A866.
- [3] MERZ J. L., LOGAN R. A., SERGENT A. M., J. Appl. Phys. 47 (1976). 1436.

- [4] CASEY H. C., Jr, SELL D. D., WECHT K. W., J. Appl. Phys. 46 (1975), 250.
- [5] CASEY H. C., Jr, PANISH M. B. Heterostructure Lasers, Part A: Fundamental Principles, Academic Press, New York 1978, p.175.
- [6] JORDAN A. S., J. Appl. Phys. 51 (1980), 2218.
- [7] VISVANATHAN S., Phys. Rev. 120 (1960), 376.
- [8] ABRAMOWITZ M., STEGUN I. A. [Eds.], Handbook of Mathematical Functions, Dover Publ., New York 1972.
- [9] FAN H. Y., SPITZER W., COLLINS R. J., Phys. Rev. 101, (1956), 566.
- [10] ROSENBERG R., LAX M., Phys. Rev. 112 (1958), 843.
- [11] CARSLAW H. S., JAEGER J. C., Conduction of Heat in Solids, Clarendon Press, Oxford 1959, p. 489, Eqs. 15 and 16.
- [12] STRZALKOWSKI I., JOSHI S., CROWELL C. R., Appl. Phys. Lett. 28 (1976), 350.
- [13] Reference [5], p. 211.
- [14] LEE H. J., JURAVEL L. J., WOOLLEY J. C., SPRING-THORPE A. J., Phys. Rev. B21 (1980), 659.
- [15] BLAKEMORE J. S., J. Appl. Phys. 53 (1982), R123, Table IX.
- [16] ILEGEMS M., PEARSON G. L., Phys. Rev. B1 (1970), 1576.
- [17] Reference [15], Table V.
- [18] WILEY J. D., [In] Semiconductors and Semimetals, [Eds.], R. K. Willarson and A. C. Beer, Vol, 10, Transport Phenomena, Academic Press, New York 1975, p. 91, Table VII.
- [19] CONWELL E. M., VASSELL M. O., Phys. Rev. 166 (1968) 797.
- [20] RODE D. L., [In] Semiconductors and Semimetals, [Eds.] R. K. Willardson and A.C. Beer, Vol. 10, Transport Phenomena, Academic Press, New York 1975, p. 1.
- [21] NEUMANN H., [In] Semiconauccor sources of Electromagnetic Radiation, [Ed.]. M.A. Herman, PWN, Warszawa 1976, p. 45.

Received March 30, 1989 in revised form May 17, 1989

#### Имитация предусматриваемых экспулатационных характеристик ширококонтактных лазерных диодов (AlGa)As с двойной гетероструктурой. II. Поглощение свободными носителями

Настоящая работа является второй частью модели ширококонтактного лазерного диода (AlGa)As с двойной гетероструктурой. Формулы, представленные в этой части, делают возможным связать коэффициент поглощения свободными носителями в материале Al<sub>x</sub>Ga<sub>1-x</sub>As с его составом и температурой.