The prognostic value and non-invasive predictors of splenomegaly in cirrhotic patients with hepatocellular carcinoma following curative resection

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Abstract

Background. The prognostic value and non-invasive predictors of splenomegaly in cirrhotic patients with hepatocellular carcinoma (HCC) after curative resection remain unknown.

Objectives. To investigate the prognostic value and non-invasive predictors of splenomegaly in cirrhotic patients with HCC after curative resection.

Material and methods. The medical records of 78 patients with HCC and liver cirrhosis who underwent curative resection were retrospectively reviewed. The influence of spleen size, measured with clinically routine ultrasonography (USG), on overall and disease-free survival was evaluated using univariate and multivariate analyses. The efficiency of some frequently used blood-derived liver function parameters and non-invasive fibrosis markers to predict splenomegaly was also assessed.

Results. It was shown that tumor size >5 cm, the presence of microvascular invasion, tumor-node metastasis (TNM) stage III–IVA of the tumor, spleen size >11.45 cm, and age \leq 52 years were associated with poor overall survival and/or disease-free survival in univariate analyses (all p < 0.05). In multivariate analyses, spleen size was identified as an independent predictor for both overall and disease-free survival (p < 0.001 and p = 0.012, respectively). On the other hand, platelet count, aspartate aminotransferase (AST) to platelet ratio index (APRI) and Fibrosis-4 index (FIB-4) scores were significantly different between small and large spleen groups (p = 0.026, 0.003 and 0.003, respectively), while statistical differences for albumin, alanine aminotransferase (ALT), AST, total bilirubin, AST to ALT ratio (AAR), and age-platelet index (API) were not found. Using receiver operating characteristic (ROC) curves, high powers of platelet count, APRI and FIB-4 in splenomegaly prediction were confirmed.

Conclusions. Splenomegaly, which can be predicted by some non-invasive variables, serves as a strong determinant for postresectional prognoses of cirrhotic patients with HCC.

Key words: splenomegaly, prognosis, hepatocellular carcinoma, non-invasive markers

Introduction

Hepatocellular carcinoma (HCC) is well-known as a malignant neoplasm with high incidence and mortality worldwide.1 According to recent epidemiological data from China, population growth and ageing led to large and rising number of new cases of HCC in 2015, despite its declining rate.² In addition, the overall long-term prognosis of HCC remains disappointing, although surgical therapies, including curative resection and liver transplantation, produce the best results in highly selected patients.¹ Therefore, factors associated with the outcome of HCC have long been of interest. Previous studies have identified many clinical and pathologic variables as prognosticators of HCC patients who had undergone hepatectomies.³⁻⁷ The majority of them were tumor-related, such as microvascular invasion (MVI), serum α -fetoprotein (AFP) level, histological grade, tumor-node-metastasis (TNM) stage, portal vein tumor thrombus, as well as tumor size and number.^{3–7} However, it is important to note that some authors found that liver cirrhosis correlated with postresectional survival of HCC patients, indicating that the prognosis of HCC was determined not only by the tumor itself, but also by the background liver status.^{3,6}

Splenomegaly is one of the common clinical signs of portal hypertension that is often ascribed to liver cirrhosis. Although portal hypertension has been shown to be prognostic in patients following hepatectomy, counterexamples have also been reported.^{6,8–13} In addition, whether splenomegaly influences the long-term prognosis of patients with resected HCC remains unclear. The present study was designed to elucidate the impact of splenomegaly on the long-term prognosis of cirrhotic patients with HCC who had undergone curative resections. The efficiency of some frequently used blood-derived liver function parameters and non-invasive fibrosis markers to predict splenomegaly in this subgroup of HCC was also assessed.

Material and methods

Patients and variables

The study involved 78 patients with HCC and liver cirrhosis who had undergone curative resection. Twelve factors, including the patient's general situation (age and gender) and liver background status (hepatitis B surface antigen (HBsAg), spleen size and clinically significant portal hypertension (CSPH)) as well as tumor-related factors (serum AFP value, capsule completeness, MVI, TNM stage, histological grade, as well as tumor size and number) were included in survival analyses. Clinically significant portal hypertension was diagnosed using the standard surrogate criteria, i.e., the presence of gastroesophageal varices or splenomegaly >12 cm with a platelet count <100/mm².¹⁰ Tumor-node-metastasis stages were based on the 7th edition of the American Joint Committee on Cancer (AJCC) Staging Manual for HCC.¹⁴ The Edmondson–Steiner

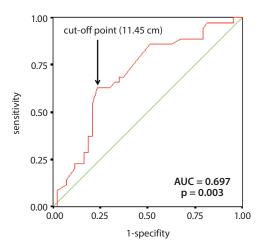


Fig. 1. Determination of the cut-off value of spleen size for overall survival based on the receiver operating characteristic (ROC) curve; AUC – area under curve

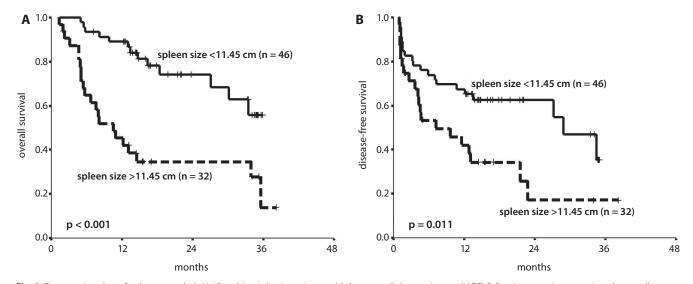


Fig. 2. Prognostic value of splenomegaly (>11.45 cm) in cirrhotic patients with hepatocellular carcinoma (HCC) following curative resection: A. overall survival; B. disease-free survival

criteria were used for tumor histological grading.¹⁵ In addition, 5 blood-based liver function parameters, including albumin, alanine aminotransferase (ALT), aspartate aminotransferase (AST), total bilirubin, and platelet count, and 4 non-invasive liver fibrosis markers, including AST to ALT ratio (AAR), age-platelet index (API), AST to platelet ratio index (APRI), and Fibrosis-4 index (FIB-4) scores, were applied to predict splenomegaly.^{16–19} The acquisition of clinicopathologic and follow-up data was approved by the institutional Ethics Committee of Peking Union Medical College Hospital (Beijing, China).

Determination of spleen size, blood-derived liver function parameters and non-invasive fibrosis markers

Spleen size was routinely measured using ultrasonography (USG) and defined as the greatest dimension of the spleen. Serum liver function parameters, albumin, ALT, AST, and total bilirubin were detected preoperatively using the Beckman Coulter AU5800 chemistry analyzer (Beckman Coulter Inc., Brea, USA), while the preoperative platelet number was counted using the Sysmex XE-5000 automated hematology analyzer (Sysmex Corporation, Kobe, Japan). On the basis of those results, the abovementioned non-invasive fibrosis markers were calculated according to their respective formulae.^{16–19}

Follow-up

All the patients underwent follow-up, mainly by serum AFP level and imaging examinations, including USG, angiography, computed tomography (CT), and magnetic resonance imaging (MRI). The follow-up period ranged from 1.4 to 38.2 months (median: 14.5 months).

Statistical analyses

The cut-off value of spleen size was determined with the receiver operating characteristic (ROC) curve for survival

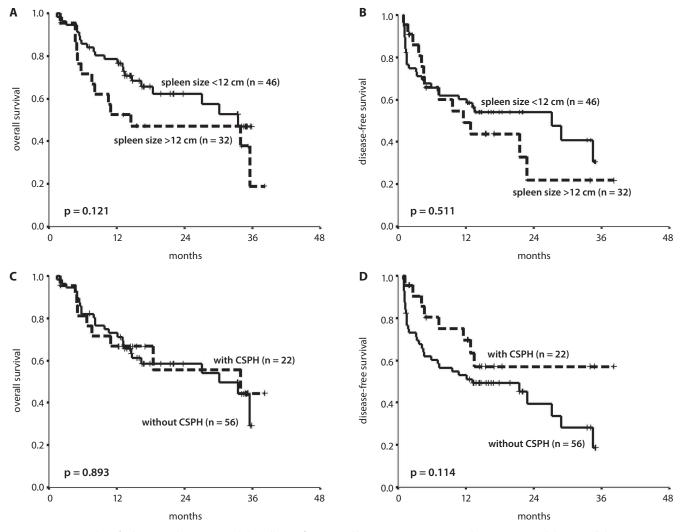


Fig. 3. Prognostic value of splenomegaly (>12 cm) and clinically significant portal hypertension (CSPH) in cirrhotic patients with hepatocellular carcinoma (HCC) following curative resection: A. splenomegaly (>12 cm) for overall survival; B. splenomegaly (>12 cm) for disease-free survival; C. CSPH for overall survival; D. CSPH for disease-free survival

Variables	n	Univariate				Multivariate		
		HR	95% CI	p-value	HR	95% CI	p-value	
Age >52 years ≤52 years	40 38	0.561 1	0.284-1.106	0.095	N/A	N/A	N/A	
Gender male female	65 13	1 0.653	0.295-1.447	0.294	N/A	N/A	N/A	
HBsAg positive negative	71 7	2.624 1	0.356–19.312	0.344	N/A	N/A	N/A	
Tumor size >5 cm ≤5 cm	40 38	2.342 1	1.164–4.713	0.017	2.025 1	0.974–4.208 N/A	0.059	
Tumor number solitary multiple	54 24	1.960 1	0.989–3.883	0.054	N/A	N/A	N/A	
Capsule complete incomplete	15 63	1 1.261	0.570-2.785	0.567	N/A	N/A	N/A	
MVI present absent	35 43	2.411 1	1.223–4.751	0.011	0.695 1	0.272–1.776	0.447	
TNM stage I–II III–IVA	37 41	1 4.232	1.967–9.102	<0.001	1 6.131	2.079–18.084	0.001	
Edmondson–Steiner grade II III–IV	27 51	1 1.513	0.726-3.155	0.269	N/A	N/A	N/A	
AFP >20 ng/mL ≤20 ng/mL	58 20	1.504 1	0.652–3.467	0.339	N/A	N/A	N/A	
Spleen size >11.45 cm ≤11.45 cm	32 46	3.779 1	1.890–7.553	<0.001	4.601 1	2.235-9.475	<0.001	
Spleen size >12 cm ≤12 cm	22 56	1.717 1	0.859–3.428	0.126	N/A	N/A	N/A	
CSPH present absent	22 56	0.949 1	0.444-2.030	0.894	N/A	N/A	N/A	

Table 1. Univariate and multivariate analyses for the overall survival of cirrhotic patients with HCC following curative resection

HCC - hepatocellular carcinoma; HR - hazard ratio; CI - confidence interval; HbsAg - hepatitis B surface antigen; MVI - microvascular invasion; TNM - tumor-node-metastasis; $AFP - \alpha$ -fetoprotein; CSPH - clinically significant portal hypertension; values in bold are statistically significant.

and Youden's index (YI). Suvival curves of patients in the small and large spleen groups were generated using the Kaplan–Meier method and compared using the log-rank test. Univariate and multivariate Cox regression analyses (proportional hazard model) were used to identify prognostic factors. The values of the blood-derived liver function parameters and non-invasive fibrosis markers in the 2 groups were compared using the Mann–Whitney U test. The predictive accuracies of the variables for splenomegaly, evaluated with the area under the curve (AUC), were shown using ROC curves. SPSS statistical software package v. 11.5 (SPSS Inc., Chicago, USA) was used for all the analyses. Statistical significance was defined as p < 0.05.

Results

Patient characteristics

The study group comprised 65 male (83.3%) and 13 female patients (16.7%). The patients' median age was 52 years (range: 31–74 years). There were 71 patients (91.0%) with positive hepatitis B surface antigen (HBsAg). Clinically significant portal hypertension was present in 22 patients (28.2%) and absent in 56 (71.8%). The median tumor size was 5.2 cm (range: 0.3–17 cm). Solitary tumors were found in 54 patients (69.2%), whereas 15 patients (19.2%) carried tumors with complete capsules. Microvascular invasion developed in 35 patients (44.9%).

Variables	n	Univariate				Multivariate		
		HR	95% CI	p-value	HR	95% CI	p-value	
Age >52 years ≤52 years	40 38	0.463 1	0.246–0.871 N/A	0.017	0.476 1	0.247-0.919	0.027	
Gender male female	65 13	1 0.894	0.394–2.026	0.788	N/A	N/A	N/A	
HBsAg positive negative	71 7	3.622 1	0.496–26.461	0.205	N/A	N/A	N/A	
Tumor size >5 cm ≤5 cm	40 38	2.677 1	1.396-5.132	0.003	2.330 1	1.181-4.600	0.015	
Tumor number solitary multiple	54 24	1.581 1	0.822–3.042	0.170	N/A	N/A	N/A	
Capsule complete incomplete	15 63	1 1.736	0.845-3.567	0.133	N/A	N/A	N/A	
MVI present absent	35 43	2.184 1	1.172-4.070	0.014	0.640 1	0.253–1.616	0.345	
TNM stage I–II III–IVA	37 41	1 3.404	1.736–6.677	<0.001	1 3.806	1.408–10.286	0.008	
Edmondson–Steiner grade II III–IV	27 51	1 1.206	0.629-2.314	0.573	N/A	N/A	N/A	
AFP >20 ng/mL ≤20 ng/mL	58 20	1.446 1	0.681–3.072	0.337	N/A	N/A	N/A	
Spleen size >11.45 cm ≤11.45 cm	32 46	2.181 1	1.176–4.047	0.013	2.280 1	1.197–4.345	0.012	
Spleen size >12 cm ≤12 cm	22 56	1.247 1	0.644-2.412	0.513	N/A	N/A	N/A	
CSPH present absent	22 56	0.542 1	0.250-1.175	0.121	N/A	N/A	N/A	

Table 2. Univariate and multivariate analyses for the disease-free survival of cirrhotic patients with HCC following curative resection

HCC - hepatocellular carcinoma; HR - hazard ratio; CI - confidence interval; HbsAg - hepatitis B surface antigen; MVI - microvascular invasion; TNM - tumor-node-metastasis; AFP - α -fetoprotein; CSPH - clinically significant portal hypertension; values in bold are statistically significant.

Serum AFP level was higher than 20 ng/mL in 58 patients (74.4%). Stage I, II, III, and IV_A tumors were found in 13, 24, 35, and 6 patients (16.7%, 30.7%, 44.9%, and 7.7%), respectively, while 27, 48 and 3 patients (34.6%, 61.5% and 3.9%) had Edmondson–Steiner grade II, III and IV tumors, respectively.

The prognostic value of splenomegaly in cirrhotic patients with hepatocellular carcinoma following curative resection

Using the ROC curve (Fig. 1), the point (11.45 cm) with the highest YI of spleen size for survival was defined as the cut-off value. The patients with large spleen size had significantly poorer overall and disease-free survival than those with small spleen size (p < 0.001 and p = 0.011, Fig. 2). However, when 12 cm (which is included in the standard surrogate criteria) was used as the cut-off value, spleen size was not prognostic (p = 0.121 and 0.511, Fig. 3A,B). Furthermore, CSPH was not a significant marker for either overall or disease-free survival (p = 0.893 and 0.114, Fig. 3C,D). The univariate Cox regression model identified tumor size, MVI, TNM stage, spleen size, and age as predictors for overall and/or disease-free survival (all p < 0.05, Table 1,2). In the multivariate analyses, spleen size was an independent predictor for both overall and disease-free survival (hazard ratio (HR) = 4.601, 95% confidence interval

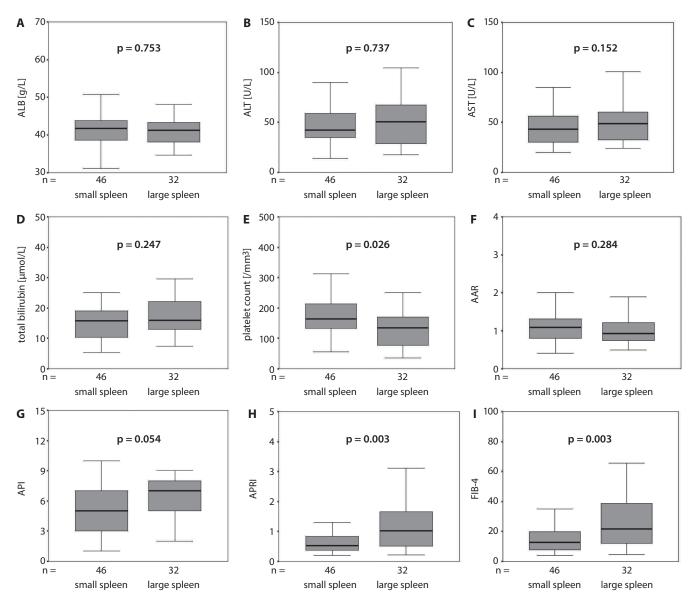


Fig. 4. Comparison of liver function parameters and non-invasive fibrosis markers between small (≤11.45 cm) and large (>11.45 cm) spleen groups: A. albumin (ALB); B. alanine aminotransferase (ALT); C. aspartate aminotransferase (AST); D. total bilirubin; E. platelet count; F. AST to ALT ratio (AAR); G. age-platelet index (API); H. AST to platelet ratio index (APRI); I. Fibrosis-4 index (FIB-4)

(95% CI) = 2.235-9.475, p < 0.001 for overall survival; HR = 2.280, 95% CI = 1.197-4.345, p = 0.012 for diseasefree survival; Tables 1 and 2), along with TNM stage, tumor size and age (all p < 0.05, Table 1,2).

Predictive efficiency of blood-derived liver function parameters and non-invasive fibrosis markers for splenomegaly

It was revealed that there were significant differences in platelet count, APRI and FIB-4 between the small and large spleen groups (p = 0.026, 0.003 and 0.003, respectively, Fig. 4E,H,I), whereas the differences for albumin, ALT, AST, total bilirubin, AAR, and API were not statistically significant (Fig. 4A–D,F,G). The ROC curves demonstrated that platelet count, APRI and FIB-4 had high accuracy in predicting splenomegaly (AUC = 0.649, 0.702 and 0.698, respectively, p < 0.05, Fig. 5E,H,I), while the other parameters were not of significance (p > 0.05, Fig. 5A–D,F,G).

Discussion

Splenomegaly has long been well-known as an obvious sign of portal hypertension that is frequently induced by liver cirrhosis in clinical practice. It is listed as 1 of 3 factors in the standard surrogate criteria of CSPH.¹⁰ It has previously been suggested that splenomegaly has a negative impact on the overall survival of patients with HCC treated with radiofrequency ablation; however, its prognostic significance in curatively resected HCC has not been elucidated.²⁰ The current study showed that splenomegaly, using the cut-off value derived from the ROC curve (11.45 cm),

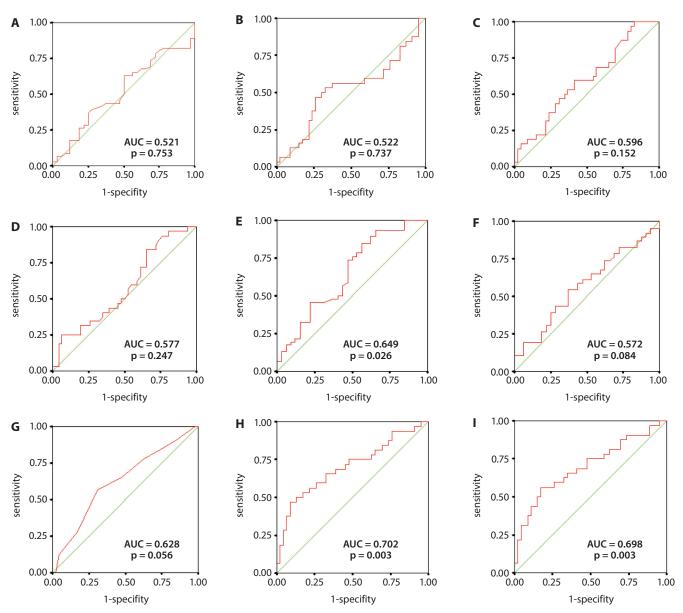


Fig. 5. Predictive accuracies of liver function parameters and non-invasive fibrosis markers for splenomegaly (>11.45 cm): A. albumin (ALB); B. alanine aminotransferase (ALT); C. aspartate aminotransferase (AST); D. total bilirubin; E. platelet count; F. AST to ALT ratio (AAR); G. age-platelet index (API); H. AST to platelet ratio index (APRI); I. Fibrosis-4 index (FIB-4); AUC – area under curve

was identified as a powerful predictor for a poor prognosis using 3 statistical tools (the log-rank test, as well as univariate and multivariate Cox regression models). These results might indicate that splenomegaly can be a promising and reliable independent prognostic marker of HCC before surgery. It should be emphasized that this variable was not only associated with overall survival, as previously found, but also with disease-free survival in HCC patients who had undergone curative resection.²⁰ Thus, this study is the first to indicate the role of splenomegaly in postsurgical recurrence of HCC. However, splenomegaly (>12 cm) was not significant to either overall or disease-free survival, indicating that this cut-off point might not be suitable for outcome prediction after resection for HCC, although it is included in the standard surrogate criteria for CSPH.¹⁰ We also found that CSPH, determined using the standard surrogate criteria, was also not prognostic in curatively resected HCC, which supports some previous investigations, including 1 from China.^{11–13} It has already been demonstrated that liver cirrhosis/fibrosis-related peritumoral ductular reaction contributes to aggressive tumor behaviors, recurrence and poor survival, and to molecular alterations, such as nuclear translocation of β -catenin and upregulated *pSmad2* expression, in HCC.^{21,22} Therefore, the inconsistent results concerning the prognostic role of CSPH suggest an urgent need for further validation of the standard surrogate criteria, because measurement of the hepatic venous pressure gradient (HVPG), regarded as the gold diagnostic criterion for CSPH, is not routinely used in clinical practice due to its invasiveness. On the other hand, in comparison to total splenic volume calculations based on 3D reconstructions of spleen CT data, measurements of spleen size using USG might be simpler, more convenient and easier to apply in clinical practice.²⁰ Recently, it was shown that HVPG could be precisely predicted by the CT-based liver/spleen volume ratio.²³ In the future, determining whether USG could be used to predict HVPG might be of interest.

In addition, it was believed that the accuracy of USGbased spleen assessment could be significantly influenced by the examiner's experience, especially due to irregular contours of the spleen and overlapping with bone, kidney or bowel gas.²⁴ For inexperienced examiners or under difficult conditions, variables to aid in assessments might be of particular significance. Thus far, many non-invasive markers for predicting liver fibrosis and cirrhosis, such as AAR, API, APRI, and FIB-4, have been developed, based mainly on platelet number, liver biochemical parameters and age, and have been shown to have strong predictive power.^{16–19} However, a counterexample was also shown.²⁵ Previously, API, APRI, FIB-4, and the platelet number were found to be effectively predictive for splenomegaly in HCC patients who underwent radiofrequency ablation.²⁰ The present study is the first validation of the efficiency of those parameters and routine liver function tests for splenomegaly in curatively resected HCC. Like Wu et al., we suggested that platelet number, APRI and FIB-4, unlike AAR, are significant variables, while albumin, ALT, AST, and total bilirubin are not of significance.²⁰ However, the predictive power of API in resected HCC deserves to be further confirmed, because its p-values were very close to the statistical limit in both direct comparison and the ROC curve.

Conclusions

Our data indicated that splenomegaly (>11.45 cm), which can be predicted by some non-invasive variables, serves as a powerful determinant for postresectional prognoses of cirrhotic patients with HCC. However, the present work might be limited by its retrospective design and relatively small sample size. The unsatisfactory AUCs of significant parameters (around 0.7) might be associated with the latter. In the future, further large-scale prospective studies that address these issues are expected.

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