# Risk factors of recurrence and poor survival in curatively resected hepatocellular carcinoma with microvascular invasion

Li Zhou<sup>A–F</sup>, Shao-Bin Wang<sup>B</sup>, Shu-Guang Chen<sup>B</sup>, Qiang Qu<sup>C</sup>, Jing-An Rui<sup>C–E</sup>

Department of General Surgery, Chinese Academy of Medical Sciences/Peking Union Medical College, Beijing, China

A – research concept and design; B – collection and/or assembly of data; C – data analysis and interpretation;

D – writing the article; E – critical revision of the article; F – final approval of the article

Advances in Clinical and Experimental Medicine, ISSN 1899-5276 (print), ISSN 2451-2680 (online)

Adv Clin Exp Med. 2020;29(7):887-892

#### Address for correspondence

Li Zhou

E-mail: lizhou02@hotmail.com

#### **Funding sources**

None declared

#### **Conflict of interest**

None declared

Received on April 18, 2017 Reviewed on August 16, 2017 Accepted on September 1, 2017

Published online on August 4, 2020

### **Abstract**

**Background.** Microvascular invasion (MVI) is a significant sign of the invasive property and a strong predictor of poor prognosis in hepatocellular carcinoma (HCC), a life-threatening malignancy. However, recurrence-associated and post-surgical long-term prognosis-associated factors in HCC with MVI remain unknown.

**Objectives.** To address the abovementioned issues, based on a Chinese patient cohort with HCC after curative hepatic resection.

**Material and methods.** The patient cohort consisted of 62 consecutive patients with HCC and MVI who underwent curative hepatic resection. The associations between clinicopathologic variables and recurrence, as well as patient overall/disease–free survival, were uni– and multivariately evaluated.

**Results.** Univariate  $\chi^2$  test identified hepatitis B surface antigen (HBsAg) positivity, high Edmondson—Steiner grade and male gender as risk factors of recurrence, whereas Edmondson—Steiner grade and HBsAg positivity were significant or marginally significant in the multivariate stepwise logistic regression analysis. Subsequently, univariate log-rank test showed that Edmondson—Steiner grade, HBsAg positivity and Child—Pugh grade were associated with overall and/or disease–free survival. Among them, the independent prognostic impact of Edmondson—Steiner grade and HBsAg positivity for both overall and disease–free survival were proven in the multivariate Cox regression analysis.

**Conclusions.** Our data suggested that Edmondson—Steiner grade and HBsAg positivity might serve as useful indicators of recurrence and pessimistic prognosis in HCC with MVI.

Key words: prognostic factor, recurrence, survival, hepatocellular carcinoma, hepatic resection

#### Cite as

Zhou L, Wang SB, Chen SG, Qu Q, Rui JA. Risk factors of recurrence and poor survival in curatively resected hepatocellular carcinoma with microvascular invasion. *Adv Clin Exp Med*. 2020;29(7):887–892. doi:10.17219/acem/76750

#### DOI

10.17219/acem/76750

#### Copyright

© 2020 by Wroclaw Medical University
This is an article distributed under the terms of the
Creative Commons Attribution Non-Commercial License
(http://creativecommons.org/licenses/by-nc-nd/4.0/)

## Introduction

Hepatocellular carcinoma (HCC) is well-recognized as a malignant tumor with high incidence and mortality worldwide.<sup>1-3</sup> Therefore, identification of prognostic markers of HCC became a research hotspot. Except for some clinical and pathological variables, such as portal vein tumor thrombosis (PVTT), tumor size, alpha-fetoprotein (AFP), and Child-Pugh grade, that were previously summarized as the most robust predictors of death, microvascular invasion (MVI), one of signs of unfavorable histological features of HCC (especially the invasive property), was also revealed to be of strong predictive potential for poor prognosis in HCC after several treatment methods, such as resection, transplantation and ablation. 4-14 However, it was also reported that some patients who carried HCC with MVI acquired actual ten-year survival. 15 Therefore, there is an urgent need and particular interest for the identification of prognostic determinants in this highly invasive subtype of HCC.

The current investigation aimed to address the issues through identification of factors that were associated with recurrence and long-term survival in this subtype of HCC.

# Material and methods

#### **Patients**

In total, 62 patients undergoing curative hepatic resection for HCC with MVI were included in the study. Their age ranged from 24 to 76 years (mean  $\pm$  standard deviation (M  $\pm$ SD) 56.8  $\pm$ 12.5 years). Tumor sizes, regarding the largest dimensions, ranged from 2 cm to 26 cm (M  $\pm$ SD 8.5  $\pm$ 4.9 cm). Microvascular invasion was diagnosed through the postoperative routine pathological examinations. Portal vein tumor thrombosis was defined as tumor involvement in the main branches of portal vein. Satellite nodules were macroscopic. Histological grading was given based on Edmondson—Steiner criteria. The baseline characteristics of patients are shown in Table 1. The acquisition of clinicopathologic and follow-up data was approved by the institutional Ethics Committee of Peking Union Medical College Hospital, Beijing, China.

### **Evaluated variables and endpoints**

Twelve variables related to general situation of the patient (age and gender), hepatic background (hepatitis B surface antigen (HBsAg), hepatitis C virus (HCV), liver cirrhosis, and Child–Pugh grade) and tumor phenotypes (serum AFP value, tumor size, tumor number, PVTT, satellite nodule, and Edmondson–Steiner grade) were chosen for analyses. Post-surgical recurrence, as well as overall and disease-free survival, served as the endpoints. Disease-free survival was defined as the patient survival interval from surgery to recurrence or distant metastasis occurrence.

**Table 1.** Baseline characteristics of patients with hepatocellular carcinoma (HCC) and microvascular invasion (MVI)

Variables	n (%)
Age	M ±SD: 56.8 ±12.5 years; range: 24–76 years
Gender male female	53 (85.5) 9 (14.5)
HBsAg positive negative	52 (83.9) 10 (16.1)
HCV positive negative	5 (8.1) 57 (91.9)
Cirrhosis present absent	51 (82.3) 11 (17.7)
Child–Pugh grade grade A grade B	58 (93.5) 4 (6.5)
Tumor size	M ±SD: 8.5 ±4.9 cm; range: 2–26 cm
PVTT present absent	19 (30.6) 43 (69.4)
Satellite nodule present absent	9 (14.5) 53 (85.5)
Tumor number solitary multiple	55 (88.7) 7 (11.3)
AFP level >20 ng/mL ≤20 ng/mL	49 (79.0) 13 (21.0)
Edmondson–Steiner grade I II III	3 (4.8) 14 (22.6) 29 (46.8) 16 (25.8)

M – mean; SD – standard deviation; HBsAg – hepatitis B surface antigen; HCV – hepatitis C virus; PVTT – portal vein tumor thrombosis; AFP – alpha-fetoprotein.

#### Follow-up

The follow-up for all patients, ranging from 3 to 108 (Me: 14) months, was performed through imaging examinations and serum AFP level detection, as previously reported.<sup>17,18</sup> Follow-up intervals ranged from 1 to 3 months.

#### Statistical analyses

The uni- and multivariate risk factors of recurrence were identified using  $\chi^2$  and stepwise logistic regression tests. Survival analyses were adopted using Kaplan–Meier method and log-rank test. Cox regression (proportional hazard model) was used for multivariate analysis of prognostic factors. Statistical software package SPSS v. 11.5 (SPSS Inc., Chicago, USA) was applied for all the analyses. Statistical significance was indicated when a p-value was less than 0.05.

## Results

# Risk factors of recurrence in patients with hepatocellular carcinoma and microvascular invasion after curative hepatic resection

Using univariate  $\chi^2$  test, gender, HBsAg and Edmondson–Steiner grade were associated with postoperative recurrence (p < 0.05; Table 2), but other parameters were not of significance (p > 0.05; Table 2). Multivariate stepwise logistic regression showed that Edmondson–Steiner grade was the single independent risk factor of recurrence (hazard ratio (HR) = 6.374, 95% confidence interval (95% CI) = 1.196–33.963, p = 0.030; Table 2), while HBsAg positivity was of marginal significance (HR = 4.933, 95% CI = 0.789–30.833, p = 0.088; Table 2).

# Prognostic factors in patients with hepatocellular carcinoma and microvascular invasion after curative hepatic resection

Univariate log-rank test revealed that HBsAg and Edmondson–Steiner grade were significantly associated with overall survival (p < 0.05; Fig. 1 and Table 3), whereas Edmondson–Steiner grade, HBsAg and Child–Pugh grade were significant for disease-free survival (p < 0.05; Fig. 2 and Table 3). In multivariate Cox regression analyses, Edmondson–Steiner grade and HBsAg were identified as independent prognostic indicators for both overall and disease-free survival (HR = 2.905 and 2.942, 95% CI = 1.263–6.683 and 1.021–8.474, p = 0.012 and 0.046 for overall survival, respectively; HR = 3.407 and 2.944, 95% CI = 1.577–7.360 and 1.124–7.710, p = 0.002 and 0.028 for disease-free survival, respectively; Table 4).

Table 2. Univariate and multivariate factors associated with recurrence in HCC with MVI

Variables		Univariate analysis			Multivariate analysis		
		with recurrence	without recurrence	p-value	HR	95% CI	p-value
Age ≥65 years <65 years	19 43	14 38	5 5	0.147	N/A	N/A	N/A
Gender male female	53 9	47 5	6 4	0.045#	4.780 1	0.671–34.066	0.118
HBsAg positive negative	52 10	47 5	5 5	0.001	4.933 1	0.789–30.833	0.088
HCV positive negative	5 57	4 48	1 9	1.000#	N/A	N/A	N/A
Cirrhosis present absent	51 11	45 7	6 4	0.119#	N/A	N/A	N/A
Child–Pugh grade grade A grade B	58 4	48 4	10 0	0.838#	N/A	N/A	N/A
Tumor size ≥5 cm <5cm	53 9	45 7	8 2	0.962#	N/A	N/A	N/A
PVTT present absent	19 43	17 35	2 8	0.672#	N/A	N/A	N/A
Satellite nodule present absent	9 53	8 44	1 9	1.000#	N/A	N/A	N/A
Tumor number solitary multiple	55 7	45 7	10 0	0.493#	N/A	N/A	N/A
AFP level >20 ng/mL ≤20 ng/mL	49 13	42 10	7 3	0.732#	N/A	N/A	N/A
Edmondson–Steiner grade I–II III–IV	17 45	10 42	7 3	0.004#	1 6.374	1.196–33.963	0.030

HR – hazard ratio; 95% CI – 95% confidence interval; N/A – not applicable;  $^{\#}\chi^2$  test with continuity correction; values in bold indicate statistically significant differences.

Table 3. Univariate analysis for overall and disease-free survival of HCC with MVI patients

Variables		Overall survival			Disease-free survival		
variables	n	M ±SE	95% CI	p-value	M ±SE	95% CI	p-value
Age ≥65 years <65 years	19 43	40 ±10 24 ±3	20–60 18–30	0.408	34 ±10 16 ±2	14–53 12–19	0.250
Gender male female	53 9	28 ±5 22 ±4	19–37 14–30	0.772	19 ±4 22 ±5	12–27 13–31	0.213
HBsAg positive negative	52 10	22 ±3 69 ±15	16-27 40-97	0.006	14 ±2 59 ±15	11–17 29–89	0.002
HCV positive negative	5 57	12 ±3 30 ±5	6–18 20–39	0.063	9 ±2 22 ±4	4–14 14–30	0.167
Cirrhosis present absent	51 11	27 ±5 27 ±4	18–36 19–36	0.286	18 ±3 22 ±4	11–24 14–30	0.080
Child–Pugh grade grade A grade B	58 4	30 ±5 11 ±5	20–39 1–21	0.058	22 ±4 7 ±3	14–31 1–12	0.017
Tumor size ≥5 cm <5cm	53 37	27 ±5 74 ±8	18–37 58–90	0.101	21 ±4 21 ±3	12–29 15–27	0.147
PVTT present absent	19 43	20 ±5 34 ±6	11–30 21–46	0.095	13 ±3 26 ±5	7–19 16–37	0.095
Satellite nodule present absent	9 53	16 ±5 31 ±5	7–25 21–41	0.051	11 ±3 23 ±4	5–18 12–32	0.142
Tumor number solitary multiple	55 7	31 ±5 17 ±5	20–41 7–28	0.203	23 ±4 12 ±4	14–32 5–20	0.337
AFP level >20 ng/mL ≤20 ng/mL	49 13	26 ±4 31 ±8	17–34 16–46	0.517	19 ±4 22 ±5	11–26 12–32	0.260
Edmondson–Steiner grade I–II III–IV	17 45	56 ±13 19 ±2	31–80 15–23	0.001	46 ±12 12 ±1	24-69 10-14	<0.001

 $\label{eq:mean} M-mean; SE-standard\ error; values\ in\ bold\ indicate\ statistically\ significant\ differences.$ 

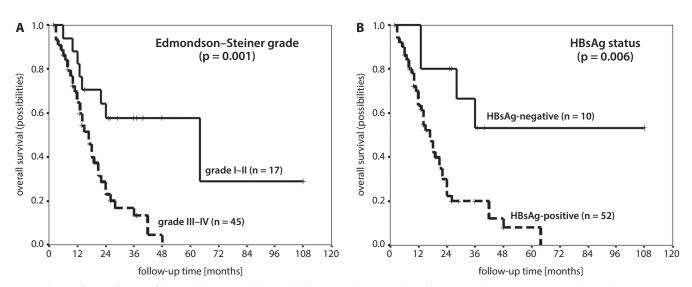
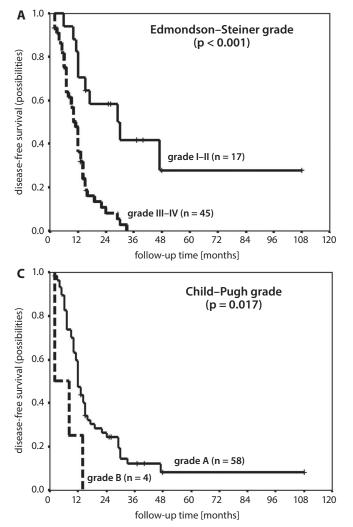
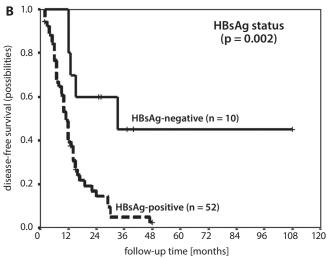


Fig. 1. The significant influence of the variables on overall survival of patients with HCC and MVI after curative hepatic resection. A. Edmondson–Steiner grade (solid line – grade I–II, n = 17; dashed line – grade III–IV, n = 45; p = 0.001); B. HBsAg positivity (solid line – negative, n = 10; dashed line – positive, n = 52; p = 0.006)





**Fig. 2.** The significant influence of the variables on disease-free survival of patients with HCC and MVI after curative hepatic resection. A. Edmondson–Steiner grade (solid line – grade I–II, n=17; dashed line – grade III–IV, n=45; p<0.001); B. HBsAg positivity (solid line – negative, n=10; dashed line – positive, n=52; p=0.002); C. Child–Pugh grade (solid line – grade A, n=58; dashed line – grade B, n=4; p=0.017)

Table 4. Multivariate analysis for overall and disease-free survival of HCC with MVI

Variables		Overall survival		Disease-free survival		
	HR	95% CI	p-value	HR	95% CI	p-value
Edmondson–Steiner grade	2.905	1.263-6.683	0.012	3.407	1.577–7.360	0.002
HBsAg	2.942	1.021-8.474	0.046	2.944	1.124-7.710	0.028
Child–Pugh grade	N/A	N/A	N/A	2.043	0.718-5.813	0.181

N/A – not applicable; values in bold indicate statistically significant differences.

# Discussion

Microvascular invasion, as a marker of the invasive growth, remarkably influences prognosis of HCC in patients who underwent curative treatments, liver resection and transplantation, when recurrence and/or overall as well as disease-free survival served as endpoints.  $^{5-14}$  However, prognostic factors of HCC with MVI remain to be explored. In the present study, factors associated with tumor recurrence were first identified. It was found that gender, Edmondson–Steiner grade and HBsAg were of statistical significance in univariate  $\chi^2$  test, while these 3 variables were significant or marginally significant

in multivariate logistic regression, respectively. Previously, gender and Edmondson–Steiner grade, along with MVI, were suggested to be correlated with post-surgical recurrence of HCC. 19,20 This study first showed their roles in HCC with MVI. Moreover, the more important finding of this work is to define HBsAg as a potential risk factor of recurrence in HCC with MVI, based on univariate and multivariate analyses. It was shown that high hepatitis B viral load predicted the recurrence of small HCC after curative resection. We provided 2 novel predictors, HBsAg, which is routinely detected, and gender that was not predictive for recurrence in MVI-absent HCC, in addition to Edmondson–Steiner grade. 22 Some authors found

the association between HBsAg positivity and high AFP level, whereas AFP is reported to be involved in many malignant phenotypes of hepatoma cells, even in the activity of dendritic cells, through different mechanisms. <sup>23–25</sup> Furthermore, the finding that knockdown of HBsAg expression inhibits HCC growth provides the direct evidence for the role of HBsAg. <sup>26</sup> Therefore, our results about HBsAg and tumor recurrence might have a molecular basis.

On the other hand, it is well-known that HCC carries unsatisfactory prognosis. Thus far, its prognostic indicators, including MVI, have been identified.4-14 However, prognostic indicators in HCC with MVI remain unclear and need in-depth exploration, because different survival status was also found in patients who carried HCC with MVI. 15 Our results showed that HBsAg and Edmondson-Steiner grade univariately and multivariately predicted both overall and disease-free survival, in consistence with reports on other types of HCC.<sup>27,28</sup> Considering the fact that these variables were independent or marginally independent risk factors for recurrence, their impact on patient survival of HCC with MVI might be understandable. Previously, the impact of HBsAg positivity on prognosis of HCC after radical or palliative therapies was also suggested.<sup>27</sup> This study first links HBsAg and HCC with MVI. Here, the authors preliminarily speculate on the possible route of its action in this subtype of HCC, as this protein stimulates tumor growth, then facilitates recurrence, and finally causes worse prognosis. Of course, this hypothesis needs to be extensively validated.

### **Conclusions**

Our data suggests that Edmondson–Steiner grade and HBsAg positivity might function as significant predictors for recurrence and poor prognosis in HCC with MVI after curative resection.

#### References

- Pisani P, Parkin DM, Bray F, Ferlay J. Estimating the world cancer burden: Globocan 2000. Int J Cancer. 2001:94(2):153–156.
- Parkin DM, Bray F, Ferlay J, Pisani P. Global cancer statistics (2002). CA Cancer J Clin. 2005;55(2):74–108.
- Chen W, Zheng R, Baade PD, et al. Cancer statistics in China (2015). CA Cancer J Clin. 2016;66(2):115–132.
- Tandon P, Garcia-Tsao G. Prognostic indicators in hepatocellular carcinoma: A systematic review of 72 studies. Liver Int. 2009;29(4):502–510.
- Ng KM, Yan TD, Black D, Chu FC, Morris DL. Prognostic determinants for survival after resection/ablation of a large hepatocellular carcinoma. HPB (Oxford). 2009;11(4):311–320.
- Belli G, Fantini C, Belli A, Limongelli P. Laparoscopic liver resection for hepatocellular carcinoma in cirrhosis: Long-term outcomes. *Dig* Surg. 2011;28(2):134–140.
- Bertuzzo VR, Cescon M, Ravaioli M, et al. Analysis of factors affecting recurrence of hepatocellular carcinoma after liver transplantation with a special focus on inflammation markers. *Transplantation*. 2011;91(11):1279–1285.

- 8. Lim KC, Chow PK, Allen JC, et al. Microvascular invasion is a better predictor of tumor recurrence and overall survival following surgical resection for hepatocellular carcinoma compared to the Milan criteria. *Ann Surg.* 2011;254(1):108–113.
- Fan ST, Poon RT, Yeung C, et al. Outcome after partial hepatectomy for hepatocellular cancer within the Milan criteria. Br J Surg. 2011; 98(9):1292–1300.
- Adam R, Bhangui P, Vibert E, et al. Resection or transplantation for early hepatocellular carcinoma in a cirrhotic liver: Does size define the best oncological strategy? Ann Surg. 2012;256(6):883–891.
- Shindoh J, Hasegawa K, Inoue Y, et al. Risk factors of post-operative recurrence and adequate surgical approach to improve long-term outcomes of hepatocellular carcinoma. HPB (Oxford). 2013;15(1):31–39.
- Abdel-Wahab M, Sultan AM, Fathy OM, et al. Factors affecting recurrence and survival after living donor liver transplantation for hepatocellular carcinoma. *Hepatogastroenterology*. 2013;60(128):1847–1853.
- Li SH, Wei W, Guo RP, et al. Long-term outcomes after curative resection for patients with macroscopically solitary hepatocellular carcinoma without macrovascular invasion and an analysis of prognostic factors. Med Oncol. 2013;30(4):696.
- Zhao H, Chen C, Fu X, et al. Prognostic value of a novel risk classification of microvascular invasion in patients with hepatocellular carcinoma after resection. *Oncotarget*. 2017;8(3):5474–5486.
- 15. Zheng J, Kuk D, Gönen M, et al. Actual 10-year survivors after resection of hepatocellular carcinoma. *Ann Surg Oncol*. 2017;24(5):1358–1366.
- Edmondson HA, Steiner PE. Primary carcinoma of the liver: A study of 100 cases among 48,900 necropsies. Cancer. 1954;7(3):462–503.
- 17. Zhou L, Rui JA, Wang SB, Chen SG, Qu Q. The significance of serum AFP cut-off values, 20 and 400 ng/mL in curatively resected patients with hepatocellular carcinoma and cirrhosis might be of difference. *Hepatogastroenterology*. 2012;59(115):840–843.
- Zhou L, Rui JA, Wang SB, Chen SG, Qu Q. Risk factors of poor prognosis and portal vein tumor thrombosis after curative resection of solitary hepatocellular carcinoma. *Hepatobiliary Pancreat Dis Int.* 2013; 12(1):68–73.
- Jeng KS, Sheen IS, Tsai YC. Circulating messenger RNA of alpha-fetoprotein: A possible risk factor of recurrence after resection of hepatocellular carcinoma. Arch Surg. 2004;139(10):1055–1060.
- 20. Qu LS, Jin F, Huang XW, Shen XZ. High hepatitis B viral load predicts recurrence of small hepatocellular carcinoma after curative resection. *J Gastrointest Surg.* 2010;14(7):1111–1120.
- Xia F, Lai EC, Lau WY, et al. High serum hyaluronic acid and HBV viral load are main prognostic factors of local recurrence after complete radiofrequency ablation of hepatitis B-related small hepatocellular carcinoma. Ann Surg Oncol. 2012;19(4):1284–1291.
- Zhou L, Rui JA, Zhou WX, Wang SB, Chen SG, Qu Q. Edmondson
   –Steiner grade: A crucial predictor of recurrence and survival in hepatocellular carcinoma without microvascular invasion. *Pathol Res Pract*. 2017;213(7):824–830.
- 23. Peng SY, Chen WJ, Lai PL, Jeng YM, Sheu JC, Hsu HC. High alphafetoprotein level correlates with high stage, early recurrence and poor prognosis of hepatocellular carcinoma: Significance of hepatitis virus infection, age, p53 and beta-catenin mutations. *Int J Cancer*. 2004;112(1):44–50.
- Pardee AD, Shi J, Butterfield LH. Tumor-derived α-fetoprotein impairs the differentiation and T cell stimulatory activity of human dendritic cells. *J Immunol*. 2014;193(11):5723–5732.
- Lu Y, Zhu M, Li W, et al. Alpha fetoprotein plays a critical role in promoting metastasis of hepatocellular carcinoma cells. *J Cell Mol Med*. 2016;20(3):549–558.
- Xiangji L, Feng X, Qingbao C, et al. Knockdown of HBV surface antigen gene expression by a lentiviral microRNA-based system inhibits HBV replication and HCC growth. J Viral Hepat. 2011;18(9):653–660.
- Peng ZW, Chen MS, Liang HH, et al. A case-control study comparing percutaneous radiofrequency ablation alone or combined with transcatheter arterial chemoembolization for hepatocellular carcinoma. Eur J Surg Oncol. 2010;36:257–263.
- 28. Liu XY, Xu JF. Liver resection for young patients with large hepatocellular carcinoma: A single center experience from China. *World J Surg Oncol.* 2014;12:175.